Attorney's Docket No.: 06618/923001 Client's Ref. No.: CIT 3685-CIP

OFFICIAL COMMUNICATION FACSIMILE:

OFFICIAL FAX NO: (703) 746-9195

Number of pages including this page 5

Applicant: Anatoliy Savchenkov et al. Art Jnit: 2874

Serial No.: 10/702,201

Filed: November 4, 2003

Title : Optical Filter Having Coupled Whispering-Gallery-

Mode Resonators

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is a Request for Corrected Official Filing Receipt (4 pages), faxed this 1st day of November 2004, to the United States Patent and Trademark Office.

Date: November 1, 2004

Scott C. Harris /BY Reg. No. 32,030 B.NG AI REG. NO. 43,312

Respectfully submitted,

Fish & Richardson P.C. PTO Customer No. 20985 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070 Fax: (858) 678-5099

10452391.doc

NOTE: This facsimile is intended for the addressee only and may contain privileged or confidential information. If you have received this facsimile in error, please immediately call us collect at (858) 678-5070 to arrange for its return. Thank you.

Attorney's Docket No.: 06618/923001 / CIT 3685-CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Anatoliy Savchenkov et al.

Art Unit: 2874

Serial No.: 10/702,201

Examiner : Unknown

Filed

: November 4, 2003

Title

: OPTICAL FILTER HAVING COUPLED WEISPERING-GALLERY-

MODE RESONATORS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the Filing Receipt for the above-referenced application to reflect the correct spelling of second-named inventor VLADIMIR ILCHENKO.

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes is attached for your convenience.

CERTIFICATE OF TRANSMISSION BY PACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

Date of Transmission

Signature

S. Gebhart
Name of Person Signing Certificate

Attorney's Docket No.: 06618-923001 / CIT 3685-CIP

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

/BY

BING AL

REG. NC. 43,312

cott C. Harris

Reg. No. 32,030

Date: November 1, 2004

Fish & Richardson P.C. PTO Customer No. 20985 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070 Facsimile: (858) 678-5099

10452388.doc

DEST AVAILABLE COP, SHE BEA

Page 1 of 2



INITED STATES PATENT AND TRADEMARK OFFICE

UNITED BYATES DEPARTMENT OF COMMERCE United Status Passer and Trademus h Offia Adhem COMMISSIONES FOR PATENCE FC. Box 1429 American 2013-149

DRAWINGS TOT CLMS IND CLMS FILING OR 371 (e) DATE ATTY, DOCKET NO FIL FEE REC'D **ART UNIT** APPL NO 23 3 412 06618-923001 2874 11/04/2003 10/702,201

20985 FISH & RICHARDSON, PC 12390 EL CAMINO REAL SAN DIEGO, CA 92130-2081 RECEIVEL

AUG 8 2004

ash & Alcharoson 20 SAN DIEGO

CONFIRMATION NO. 7116

FILING RECEIPT DCD000000013641042*

Date Mailed: 08/26/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ichenko

Anatoliy Savebankov, La Crescenta, CA; Vladimit Hebenko La Canada, CA; Lutfollan Maleki, Pasadena, CA; Timothy A. Handley, Santa Barbara, CA;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/425,019 11/08/2002 and is a CIP of 10/441,946 05/19/2003 which claims benefit of 60/381,588 05/17/2002

Foreign Applications

If Required, Foreign Filing License Granted: 08/24/2004

Projected Publication Date: 12/02/2004

Non-Publication Request: No

Early Publication Request: No

" SMALL ENTITY "

Title

DOCKETED B	Y PRACTICE SYSTEMS
BASE DATE:_ DUE DATE:_	8/24/04
DEADLINE:	9/20/09
INITIALS: RECORD:	

Docketed By Billing Secretary

,	

Due Date:____ Deadline:

initials:

Page 2 of 2

Optical filter having coupled whispering-gallery-mode resonators

Preliminary Class

385

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for Issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(e) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (i)); the Office of Foreign Assets Control, Department of Trassury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, If a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).